

Librarians Versus the Machine: Leveraging Faculty Relationships to Increase Open Access Participation

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Open Access

“By '**open access**' to this literature, we mean **it's free availability on the public internet**, permitting any users to read, download, copy, distribute, print... the full texts of these articles.... or **use them for any other lawful purpose**, without ... barriers other than those inseparable from gaining access to the internet itself.

The only constraint on reproduction and distribution, and **the only role for copyright** in this domain, **should be to give authors control over the integrity of their work and the right to be properly acknowledged and cited.**”

Budapest Open Access Initiative (BOAI, 2002)

Overview


- What is the IUPUI OA Policy?
- How has it been implemented?
- How are librarians involved?
- Policy participation by the numbers
- How you can participate

IUPUI Open Access Policy, 7 Oct. 2014

(Key Features)

- Harvard (2008) model policy adopted by 94 North American institutions, including: MIT, Kansas, Duke, California, etc.;
- Opt out for any reason or no reason;
- Scholarly articles by IUPUI authors and co-authors (not monographs, book chapters, or creative works);
- Honors current IU intellectual property policy;
- Authors retain rights;
- Nonexclusive permission to share at IUPUI ScholarWorks (institutional repository);
- Author's accepted manuscript ("post-print"), usually not the publisher's PDF; and
- Authors choose: opt out / share / upload, but embargo:
<https://openaccess.iupui.edu/>

Implementation (Phase 1)







 INDIANA UNIVERSITY–PURDUE UNIVERSITY INDIANAPOLIS

Login

Open Access @ IUPUI

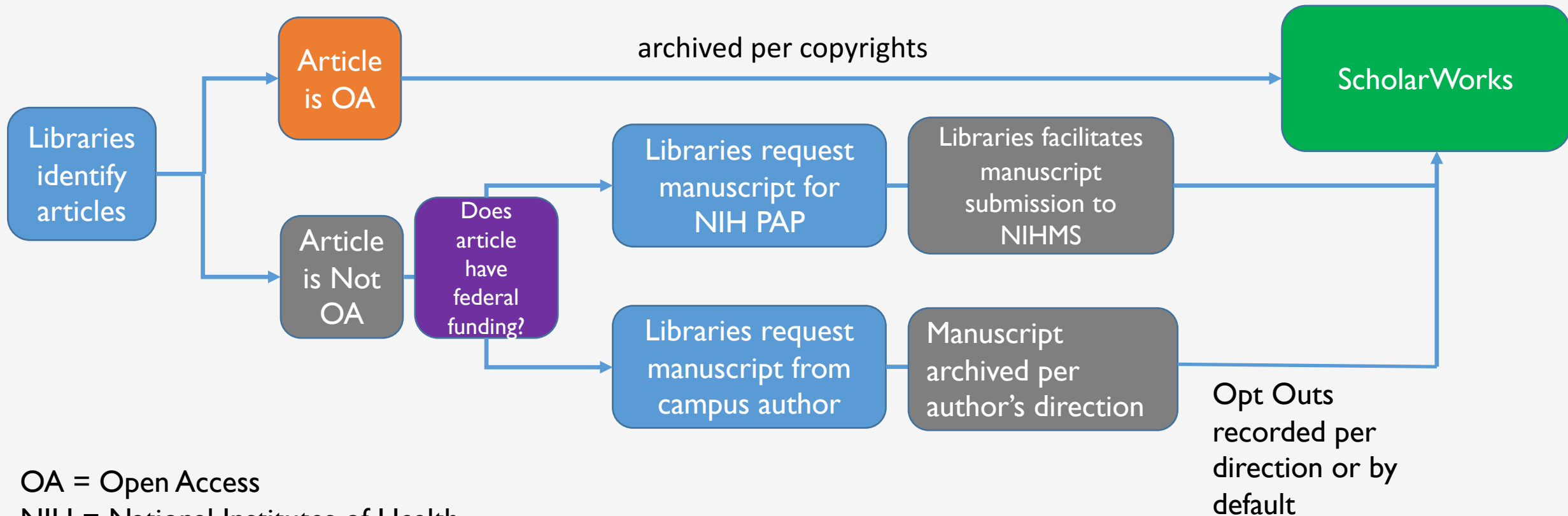
IUPUI Open Access Policy

The IUPUI Faculty Council adopted an open access policy on October 7th, 2014. This policy shows IUPUI's commitment to disseminating the fruits of research and scholarship as widely as possible. Open access policies increase authors' rights, readership and citation rates for scholarly articles. The opt out provision ensures that all faculty authors have the freedom to publish in the journal of their choice.

 Deposit Your Article Upload the accepted manuscript of your scholarly article.	 Opt Out / Embargo Upload the accepted manuscript to select an embargo date or to opt out for an article.	 Get a Waiver Generate a signed letter to verify an article's exemption from the policy.
 OA Policy Read the IUPUI Open Access Policy.	 OA Policy FAQ Learn about the features of the policy.	 Contact Ask questions. Get help.

<https://openaccess.iupui.edu/>

Implementation model (Phase 2)



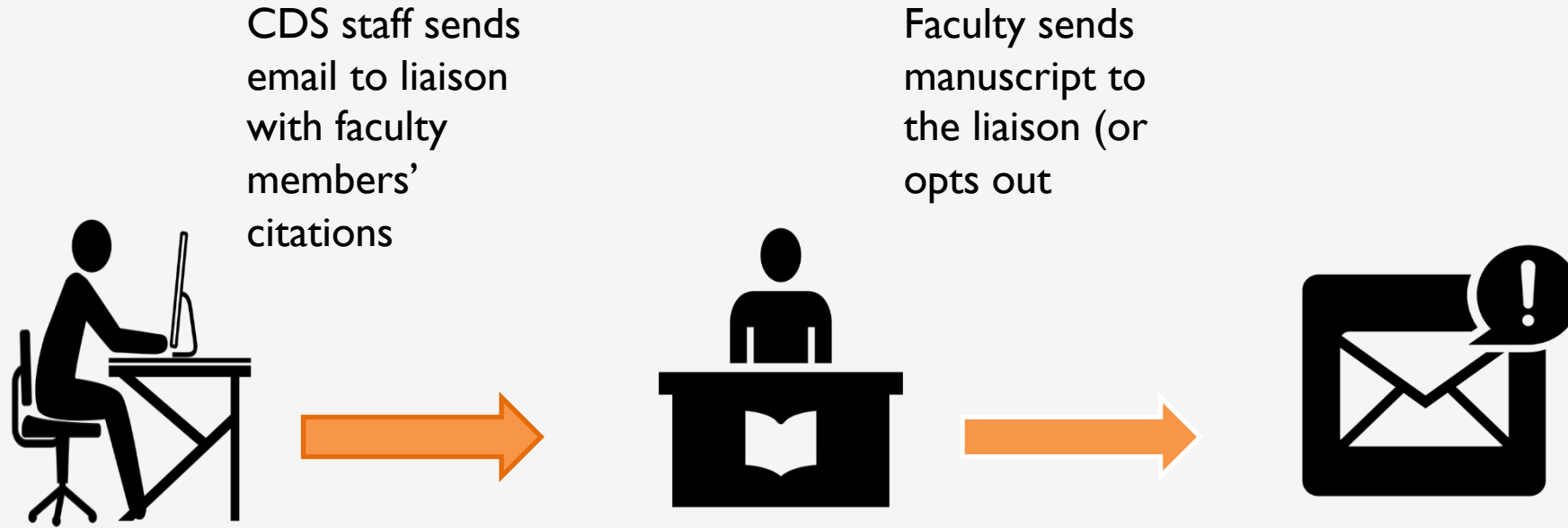
OA = Open Access

NIH = National Institutes of Health

PAP = Public Access Policy

NIHMS = NIH Manuscript Submission System

Proposed workflow for liaisons



Created by DonBLC 123
from the Noun Project

Created by Wynne Nafus Sayer
from the Noun Project

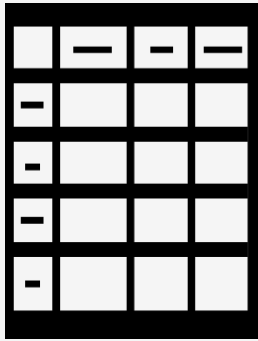
Created by Christopher Holm-Hansen
from the Noun Project

Center for Digital
Scholarship (CDS)
staff runs a weekly
database search in
Scopus

Liaison
customizes a
template email to
send to faculty
requesting
manuscript

Liaison forwards
manuscript to CDS
staff at:
oapoicy@iupui.edu

CDS staff responsibilities



CDS staff fills out a spreadsheet with document's metadata



Created by Ruud Smit
from the Noun Project

Document is cleaned, formatted, and uploaded with metadata to ScholarWorks (IR)



Created by DonBLC 123
from the Noun Project

CDS staff sends an email to faculty and copies liaison

Discovering Citations in the Humanities

Full name	Campus	Google Scholar search	PubMed search	Scopus search	GS profile?	Notes
Schultz, Jane	IUPUI	author:"jane e schultz"	Schultz JE[Author] Indiana	Schultz, Jane E.	No	Publishes in Literature and Medical Humanities

Tips:

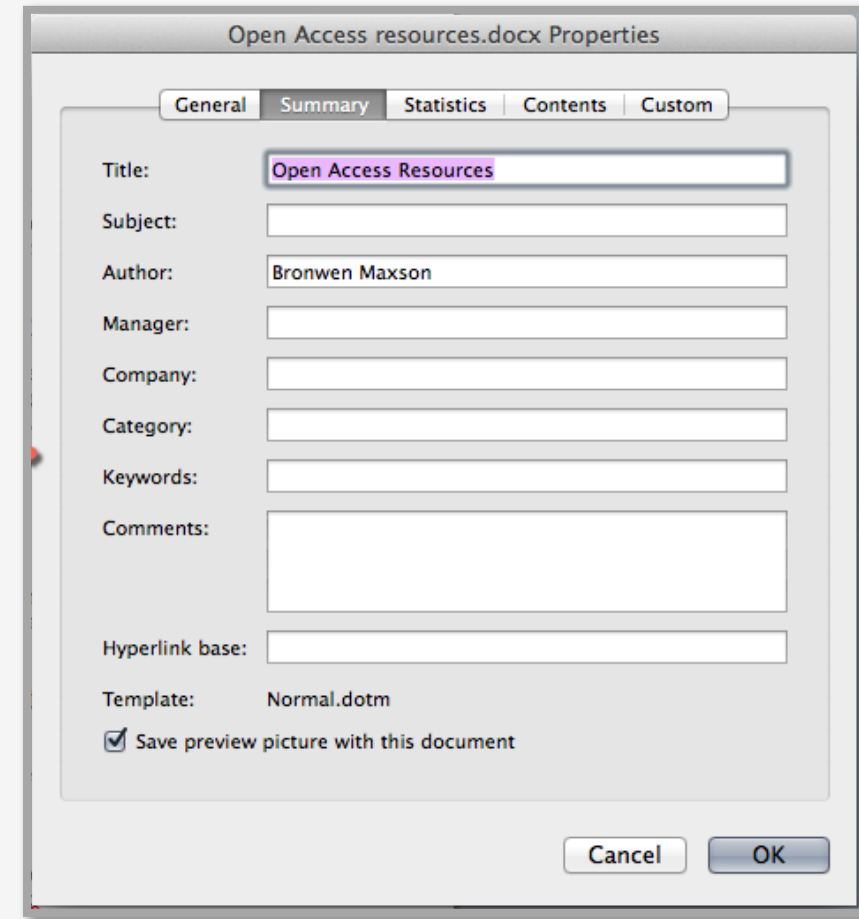
- Set up alerts in Google Scholar, PubMed, and Scopus;
- If faculty have a Google Scholar profile, follow them; and
- Search about 10 authors at a time in Google Scholar.



Preparing (some) metadata in Word

Librarian's tasks:

- Check the Title and Author field in the document's Properties in Microsoft Word;
- Convert document to PDF;
- CDS staff does the rest of the metadata work

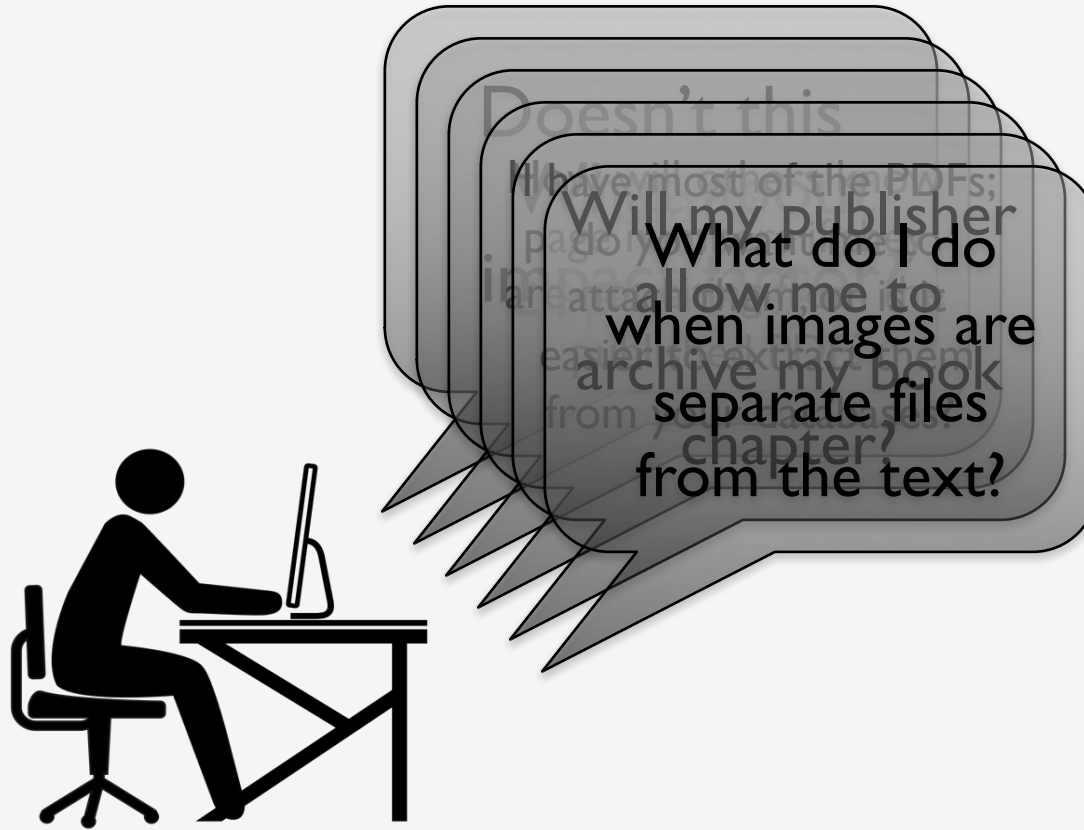


The screenshot shows the 'Open Access resources.docx Properties' dialog box with the 'General' tab selected. The fields are as follows:

Field	Value
Title:	Open Access Resources
Subject:	
Author:	Bronwen Maxson
Manager:	
Company:	
Category:	
Keywords:	
Comments:	
Hyperlink base:	
Template:	Normal.dotm

At the bottom, there is a checkbox labeled 'Save preview picture with this document' which is checked. The 'Cancel' and 'OK' buttons are at the bottom right.

Questions from faculty



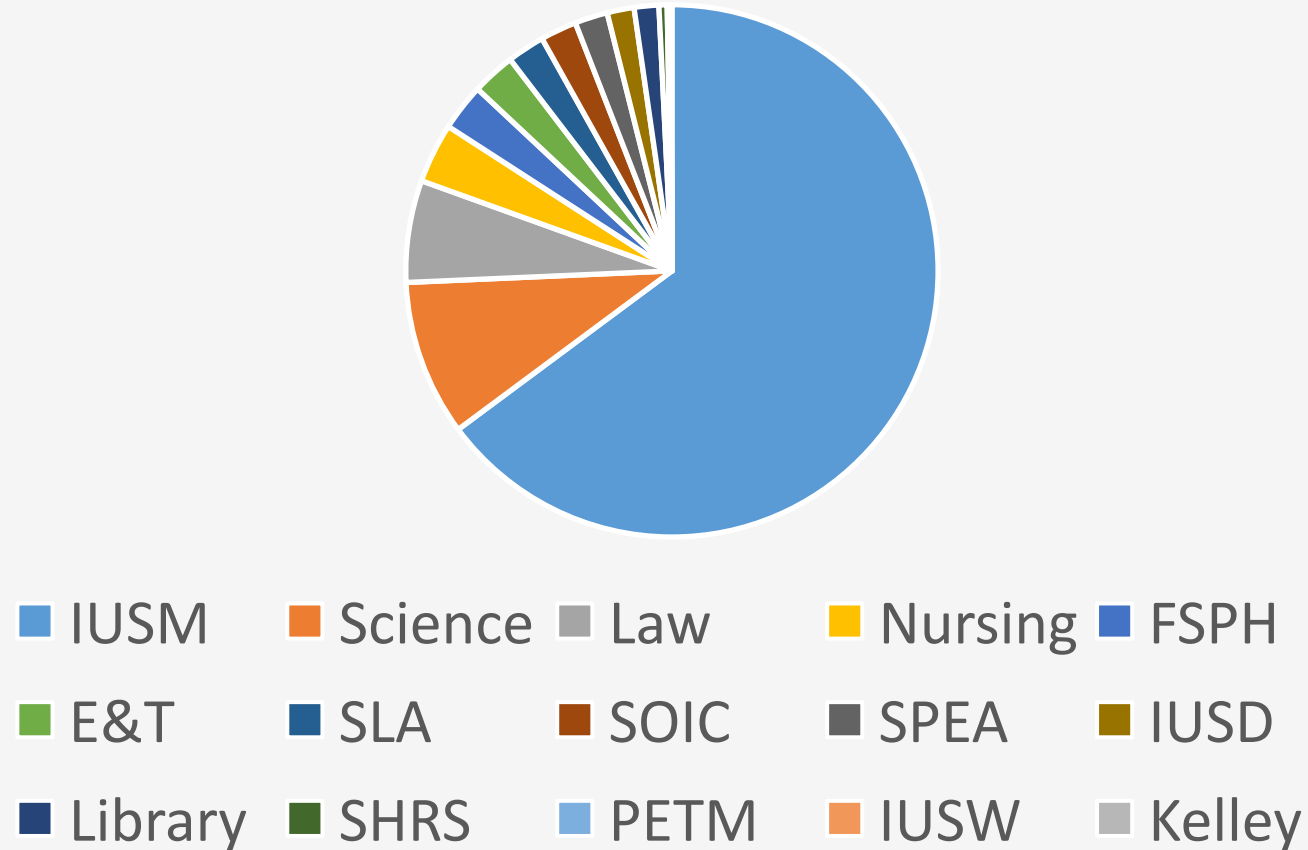
Created by DonBLC 123
from the Noun Project

Outcomes: Jan 2015 – March 31, 2016

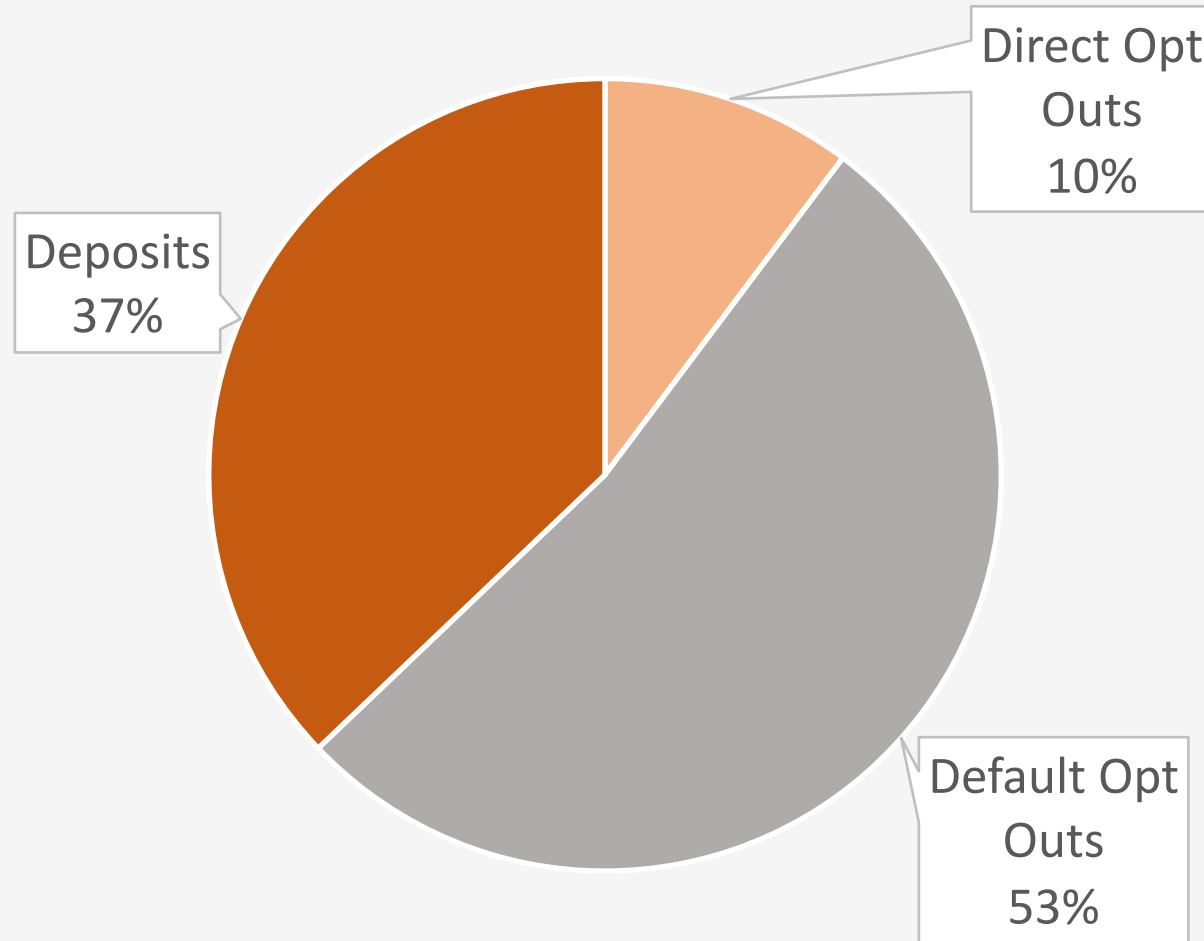
<https://scholarworks.iupui.edu/handle/1805/3272>

1,401	articles deposited in ScholarWorks
190	default “Opt Outs”
37	direct “Opt Outs”
45	articles notified of NIH compliance needs
4	waivers requested by publisher (American Roentgen Ray Society)

1,401 OA Policy Articles--Deposits by School



OA Policy Participation Rate: 47%



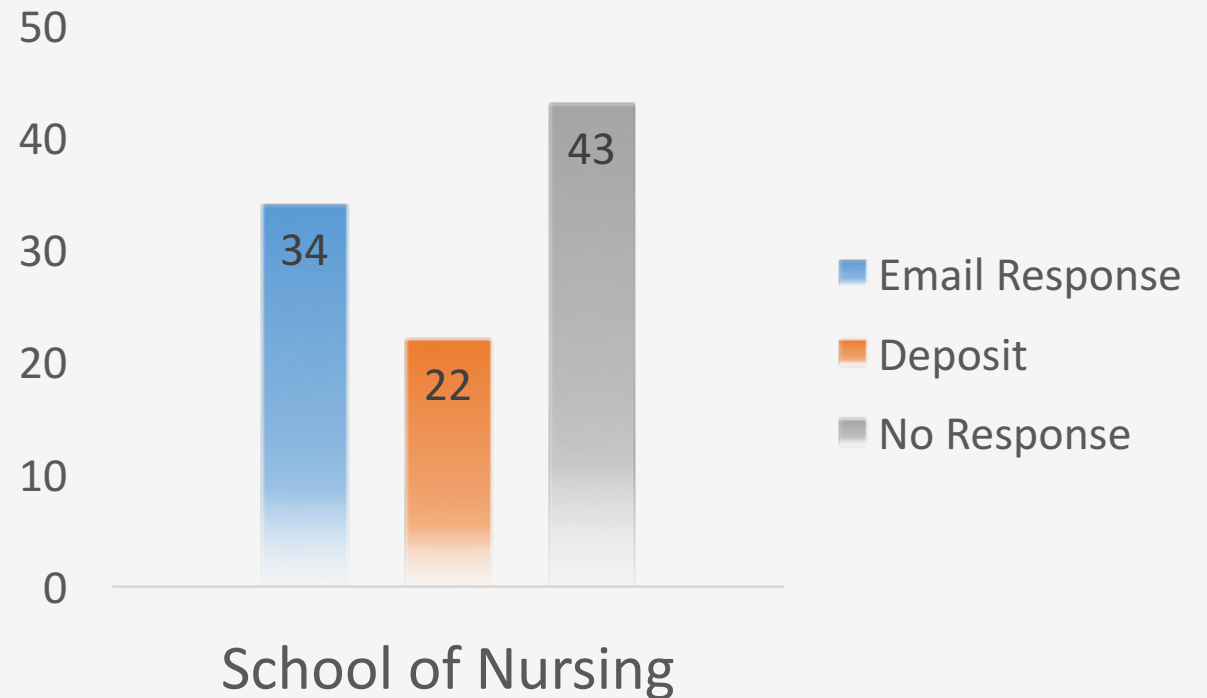
IUPUI in Comparison

Campus	Deposits/Articles Published (Scopus)
MIT	55%
Rice	46%
IUPUI	43% (1,401/3,286)
Rhode Island	38%
Duke	28%

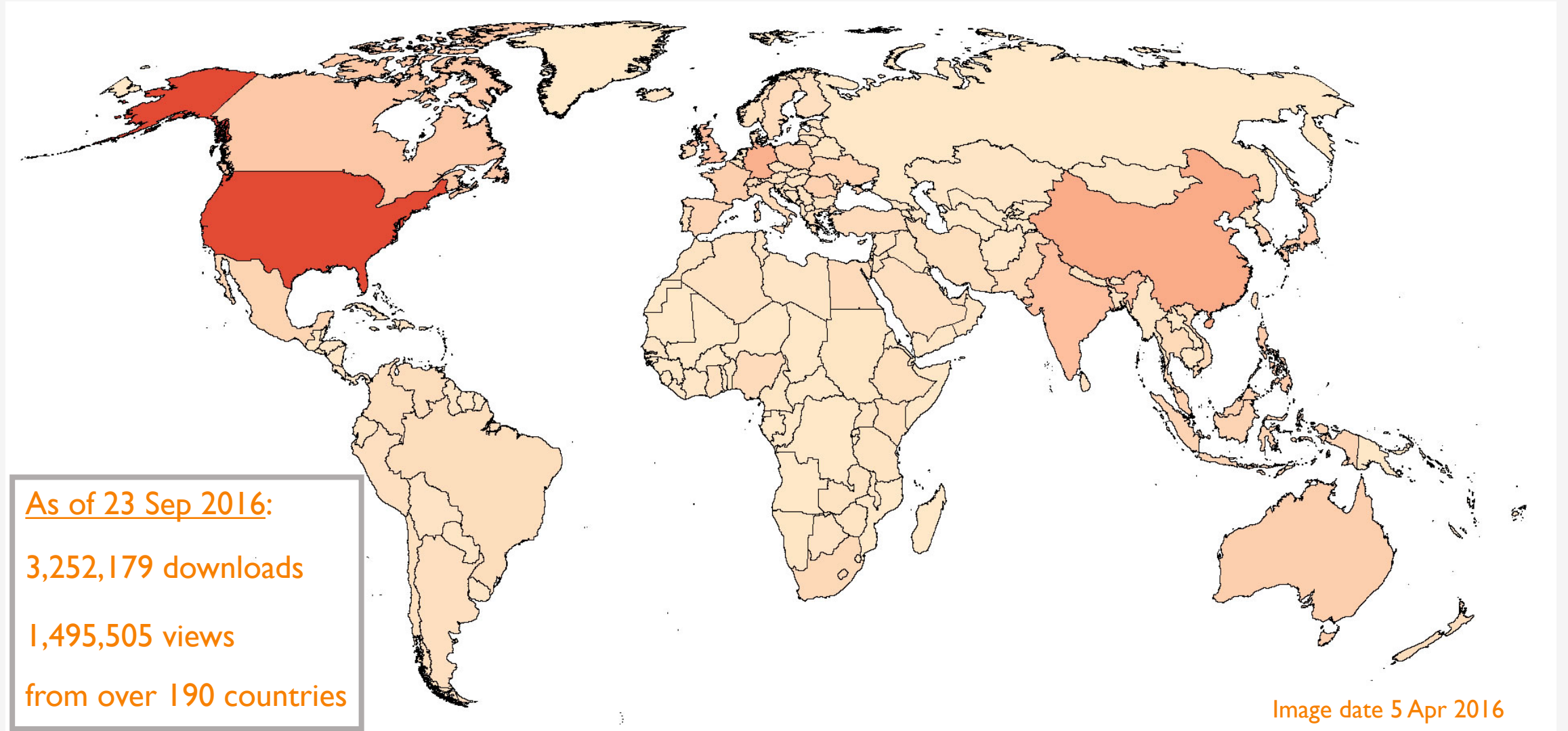
OA Policy as a Liaison

(Jan. 1, 2015-Dec. 31, 2015)

- ✓ 77 Publications by 39 Faculty Members
- ✓ 44% Faculty Response Rate (n=34)
- ✓ 29% Articles Deposited (n=22)



Global Impact





Make your scholarship open

Why

- Increase your impact through a higher citation count;
- Share with the world to make it a better place;

How

- LOCKSS – “Lots of copies keeps stuff safe”: self-archive your manuscripts in many places;
 - institutional repository, your website, Mendeley, Academia.edu, etc.
- Publish in fully open access journals, i.e. PLOS;
- Go Green (publish your manuscript) if you can’t afford Gold (pay to publish OA outright);
- Support OA efforts on your campus, especially in tenure process;
- Assign OA readings in class; and
- Encourage students to publish OA.

Questions?

Thank you for attending!